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Confirmation No.: 2529

OCT 25 2005

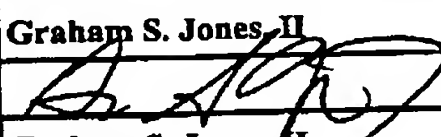
PTO/SB/21 (09-04)


Approved for use through 07/31/2008. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/708,530	
	Filing Date	10 March 2004	
	First Named Inventor	Ramachandra Divakaruni	
	Art Unit	2818	
	Examiner Name	Phuc T. Dang	
Total Number of Pages in This Submission	17	Attorney Docket Number	FIS920030421US1

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Graham S. Jones, II		
Signature			
Printed name	Graham S. Jones, II		
Date	25 October 2005	Reg. No.	20,429

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			Date
Typed or printed name	Graham S. Jones, II	Date	25 October 2005

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OCT 25 2005

Confirmation No.: 2529

PTO/SB/17 (12-04)

Approved for use through 07/31/2008. OMB 0651-0032

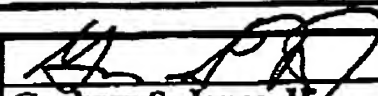
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<b>Effective on 12/08/2004.</b> <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b> <b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		<b>Complete if Known</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/708,530
		Filing Date	10 March 2004
		First Named Inventor	Ramachandra Divakaruni
		Examiner Name	Phuc T. Dang
		Art Unit	2818
		Attorney Docket No.	FIS920030421US1
<b>TOTAL AMOUNT OF PAYMENT (\$)</b>			<b>0</b>

<b>METHOD OF PAYMENT (check all that apply)</b>	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <b>09-0458</b> Deposit Account Name: <b>International Business Machines Corporation</b>	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge fee(s) indicated below, except for the filing fee	
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments	
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	

<b>FEE CALCULATION</b>							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
	<b>FILING FEES</b>		<b>SEARCH FEES</b>		<b>EXAMINATION FEES</b>		
<b>Application Type</b>	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	<b>Fees Paid (\$)</b>
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
<b>2. EXCESS CLAIM FEES</b>							
<b>Fee Description</b>							<b>Small Entity Fee (\$)</b>
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent							50
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent							200
Multiple dependent claims							360
<b>Total Claims</b>		<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	<b>Multiple Dependent Claims</b>		
20 - 20 or HP =		0	x		Fee (\$)		Fee Paid (\$)
HP = highest number of total claims paid for, if greater than 20							
<b>Indep. Claims</b>		<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>			
3 - 3 or HP =		0	x				
HP = highest number of independent claims paid for, if greater than 3							
<b>3. APPLICATION SIZE FEE</b>							
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<b>Total Sheets</b>		<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>		<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	
- 100 =			/ 50 =		(round up to a whole number) x		
							<b>Fees Paid (\$)</b>
<b>4. OTHER FEE(S)</b>							
Non-English Specification, \$130 fee (no small entity discount)							
Other:							


<b>SUBMITTED BY</b>		<b>Registration No.</b>	<b>Telephone</b>
<b>Signature</b>		<b>(Attorney/Agent)</b> 20,429	(845) 473-9118
<b>Name (Print/Type)</b>	Graham S. Jones, II	<b>Date</b>	25 October 2005

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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25 October 2005  
 Date Of Deposit  
Graham S. Jones, II; Reg. No. 20,429  25 October 2005  
 Name of Person Making Deposit Signature Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors:	Ramachandra Divakaruni et al.	Date:	25 October 2005
Serial No.:	10/708,530	Art Unit:	2818
Filing Date:	10 March 2004	Examiner:	Phuc T. Dang
Confirmation No.	2529	Docket No.:	FIS920030421US1
Title:	Method for Manufacturing Tungsten/Polysilicon Word Line Structure in Vertical DRAM and Device Manufactured Thereby	Attorney:	Graham S. Jones, II 42 Barnard Avenue Poughkeepsie, NY 12603-5023

**AMENDMENT**

The Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Your Honor:

In response to the Office Action of 25 July 2005, please amend the above-identified application as follows:

Amendments to the Title	begin on page 2 of this paper.
Amendments to the Specification	begin on page 3 of this paper
Amendments to the Abstract	begin on page 5 of this paper
Amendments to the Claims	begin on page 6.. of this paper.
Remarks/Arguments	begin on page 11 of this paper.

**FIS920030421US1**

- 1 -

Serial No.:	10/718,530	Art Unit:	2818
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**IN THE TITLE**

**Please amend the title to read as follows:**

**- - Method for Manufacturing Tungsten/Polysilicon Word Line Structure in Vertical DRAM  
and Device Manufactured Thereby - -**

**FIS920030421US1**

**- 2 -**